

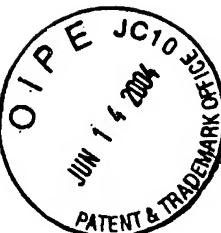
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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/803,279	
	Filing Date	March 18, 2004	
	First Named Inventor	M. D. BUTTS	
	Art Unit	3763	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	7	Attorney Docket Number	480062004300

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Information Disclosure Statement (3 pages) PTO-1449 (3 pages)
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	MORRISON & FOERSTER LLP Todd W. Wight - 45,218
Signature	
Date	June 11, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 11, 2004

Signature:

(Linda J. Burleigh)

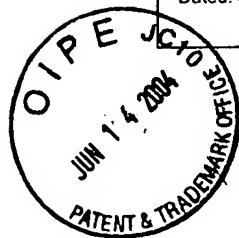
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 11, 2004

Signature

(Linda J. Burleigh)

Docket No.: 480062004300



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
M. D. BUTTS et al.

Serial No.: 10/803,279

Filing Date: March 18, 2004

For: CATHETER CONNECTOR

Examiner: Not Yet Assigned

Group Art Unit: 3763

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are not submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of ___ is enclosed.
- ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of ___ is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

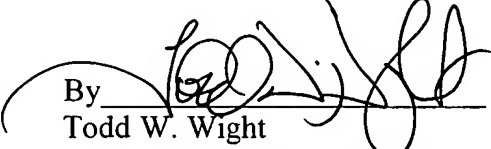
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in

connection with the filing of this document to **Deposit Account No. 03-1952** referencing **(480062004300)**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 11, 2004

Respectfully submitted,

By 
Todd W. Wight
Registration No.: 45,218
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Los Angeles, CA 90013-1024
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Form PTO-1449

Docket Number 480062004300

Application Number 10/803,279

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

BUTTS et al.

Filing Date March 18, 2004

Group Art Unit 3763

Mailing Date June 11, 2004

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	11/25/75	3,921,631	Thompson			
	2.	01/1979	4,134,402	Mahurkar			
	3.	09/1985	4,543,087	Sommercorn et al.			
	4.	02/1986	4,568,329	Mahurkar			
	5.	06/03/86	4,592,749	Ebling et al.			
	6.	11/1986	4,623,327	Mahurkar			
	7.	02/1987	4,643,711	Bates			
	8.	06/1987	4,675,004	Hadford et al.			
	9.	07/1987	4,681,122	Winters et al.			
	10.	09/1987	4,692,141	Mahurkar			
	11.	02/09/88	4,723,948	Clark et al.			
	12.	04/19/88	4,738,658	Magro et al.			
	13.	09/1988	4,772,268	Bates			
	14.	02/1989	4,808,155	Mahurkar			
	15.	01/1990	4,895,561	Mahurkar			
	16.	10/1991	5,053,003	Dadson et al.			
	17.	10/1991	5,053,004	Markel et al.			
	18.	10/1991	5,053,023	Martin			
	19.	10/1991	5,059,170	Cameron			
	20.	03/1993	5,190,520	Fenton, Jr. et al.			
	21.	05/1994	5,312,337	Flaherty et al.			
	22.	08/1994	5,342,386	Trotta			
	23.	11/1994	5,360,397	Pinchuk			
	24.	01/1995	5,380,276	Miller et al.			
	25.	05/1995	5,417,668	Setzer et al.			
	26.	12/1995	5,472,432	Martin			
	27.	04/1996	5,509,897	Twardowski et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 480062004300	Application Number 10/803,279
	Applicant BUTTS et al.	
	Filing Date March 18, 2004	Group Art Unit 3763
	Mailing Date June 11, 2004	

	28.	04/1997	5,624,413	Markel et al.			
	29.	01/1998	5,704,915	Melsky et al.			
	30.	02/1998	5,718,678	Fleming, III			
	31.	06/1998	5,772,643	Howell et al.			
	32.	07/1998	5,776,111	Tesio			
	33.	08/1998	5,797,869	Martin et al.			
	34.	09/1998	5,807,311	Palestrant			
	35.	08/1999	5,944,732	Raulerson et al.			
	36.	11/1999	5,989,213	Maginot			
	37.	06/2000	6,074,374	Fulton			
	38.	07/2000	6,086,555	Eliassen et al.			
	39.	08/08/00	6,099,519	Olsen et al.			
	40.	12/2000	6,156,016	Maginot			
	41.	02/2001	6,190,349	Ash et al.			
	42.	02/2001	6,190,371	Maginot et al.			
	43.	09/2001	6,293,927	McGuckin, Jr.			
	44.	11/2001	2001/0041857	Sansoucy			
	45.	12/25/01	6,332,874	Eliassen et al.			
	46.	07/25/02	2002/0099326 A1	Wilson et al.			
	47.	07/25/02	2002/0099327 A1	Wilson et al.			
	48.	05/08/03	2003/0088213 A1	Schweikert et al.			
	49.	10/23/03	2003/0199853	Olsen et al.			
	50.	10/28/03	6,638,242	Wilson et al.			
	51.	10/30/03	2003/0201639 A1	Korkor			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

EXAMINER:	DATE CONSIDERED:
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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 480062004300	Application Number 10/803,279
	Applicant BUTTS et al.	
	Filing Date March 18, 2004	Group Art Unit 3763
	Mailing Date June 11, 2004	

Examiner Initials	Ref. No.	Title

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